

DECLARATION OF CASH DEPOSITS

Please duly complete the information requested below. This is to enable you to comply with the regulatory requirements Finalto Trading Limited is subjected to and particularly with its obligations for taking measures to combat money laundering and terrorist financing. All disclosures are fully confidential in accordance to our Privacy Policy.

TRADING ACCOUNT DETAILS

Client's username: _____

Customer's trading account number: _____

PERSONAL DATA

Name: _____

Address (as indicated on the provided proof of residence): _____

Citizenship: _____

Telephone Number: _____ Email address(es): _____

Passport / ID number (delete as appropriate): _____

Anticipated account turnover (not leveraged)?

☐ Less than \$100,000 ☐ \$100,001-\$200,000 ☐ \$200,001-\$500,000 ☐ \$500,001+

CASH DEPOSIT'S DETAILS:

Amount of deposit (include currency): _____

Bank to which the deposit was made: _____ Branch: _____

Full Address of Branch: _____

Expected origin of further deposits to the account (e.g. cash, wire transfer): _____

Expected origin of withdrawals from the account (your bank details – bank name, branch, address, IBAN / acc. number, SWIFT and currency): _____

REASON FOR MAKING DEPOSIT IN CASH

VERIFICATION OF SOURCE OF FUNDS

Please choose below as applicable and complete the relevant section:

☐ **Salary (including Self –Employed) or Savings from Employment Income**

Please provide the following details:	Please provide one of the following (certified):
What is your annual salary (please indicate amount and currency):	1. Pay slip (or bonus payment) relevant to the cash deposit
Did you receive any bonuses this year?	2. Signed letter from an employer confirming salary and/or bonus on a letter-headed paper

Depositor's Signature _____

Please provide your employer's name and address: Name: _____ Address: _____	3. Bank statement indicating receipt of most recent salary from employer OR Tax declaration if self employed
What is the nature of your employer's business:	4. Any other proof clearly indicating the source of the cash deposit

☐ **Salary (including Self –Employed) or Savings from Employment Income**

Please provide the following details:	Please provide one of the following (certified):
Description of shares/units/deposits:	1. Investment or savings certificates, contract notes or surrender statements
Name of the seller:	2. Bank statement clearly showing receipt of funds and investment company name
How long were these held:	3. Signed letter detailing funds from a regulated account on letter-headed paper
What was the sale amount?	4. Any other proof clearly indicating the source of the cash deposit
When were the funds received?	

☐ **Sale of property**

Please provide the following details:	Please provide one of the following (certified):
Full address of property sold:	1. Letter detailing property sale signed by a licensed solicitor or regulated accountant on a letter-headed paper
Date of sale:	2. Copy of sale of contract
Total sale amount of property sold:	3. Any other proof clearly indicating the source of the cash deposit
How long was the property held?	

Depositor's Signature _____

☐ Inheritance

Please provide the following details:	Please provide one of the following (certified):
Total amount received:	1. Grant of Probate (with a copy of the will) which must include the values of the estate 2. Copy of the will 3. Signed letter from a licensed solicitor or estate trustees on letter-headed paper or Bank Statement 4. Any other proof clearly indicating the source of the cash deposit
Date received:	
Name of deceased:	
Solicitor's details:	
Relationship to deceased:	

☐ Company profits/ earnings (including dividends)

Please provide the following details:	Please provide one of the following (certified):
Name and Address of company: Name: _____ Address: _____	1. Copy of latest audited company accounts 2. Letter from a regulated accountant giving details on company's profits, nature of activities and turnover 3. Board of directors approval 4. Dividend distribution 5. Tax declaration from 6. Any other proof clearly indicating the source of the cash deposit If funds come from dividends: 1. Bank statement clearly showing receipt of funds and name of company paying dividend 2. Letter detailing dividend details signed by a regulated accountant on letterheaded paper
Nature of Business:	
Amount of annual profits:	
If dividend:	
a. Date of receipt of dividend: b. Total amount: c. Name of company paying dividend:	

Depositor's Signature _____

☐ Gift

Please provide the following details:	Please provide one of the following (certified):
Date received:	1. Evidence of the donor's source of wealth as detailed in this table 2. Letter from donor confirming details of gift and acknowledging the source of the donated funds 3. Any other proof clearly indicating the source of the cash deposit
Total amount:	
Relationship with donor:	
Reason for the gift:	
Source of donated funds:	

☐ Retirement income

Please provide the following details:	Please provide one of the following (certified):
Retirement date:	1. Pension statement 2. Letter from regulated accountant 3. Letter from annuity provider 4. Bank statement showing receipt of latest pension income and name of provider 5. Savings account statement indicating payment of income 6. Any other proof clearly indicating the source of the cash deposit
Details of previous profession/occupation:	
Details of pension income source:	

☐ Loan

Please provide the following details:	Please provide one of the following (certified):
Amount of loan:	1. Loan agreement 2. Recent loan statements 3. Any other proof clearly indicating the source of the cash deposit
Purpose of the loan:	
Name & Address of lender:	
Date of loan:	

Depositor's Signature _____

☐ Other income sources

Please provide the following details:	Please provide one of the following (certified):
Nature of income:	1. Appropriate supporting documentation 2. Signed letter detailing funds from a regulated accountant
Amount received:	
Date received:	
Received from whom:	

Please include with this declaration the relevant supportive documentation to justify the source of funds as indicated in the applicable section. Please note that you may be requested to submit additional information and/or documentation upon examination of this form and that non-compliance will lead to the non-credit of the deposit.

By signing this form you acknowledge and declare that all the aforementioned information that you have disclosed are true, complete and correct and commit to provide any further information as this may be requested.

Client’s Full name: _____

Client’s Signature: _____ Date: _____

Depositor’s Signature _____